

REVEO-0108USAACN01

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APR 11 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: LE, Li et al. )  
 ) Group Art Unit  
 SERIAL NO.: 10/656,827 )  
 )  
 FILING DATE: September 5, 2003 ) Examiner  
 ) Andrew M. Schechter  
 FOR: SPECTRUM CONTROLLABLE )  
 REFLECTIVE POLARIZERS HAVING )  
 ELECTRICALLY-SWITCHABLE MODES )  
 OF OPERATION )

Commissioner of Patents  
 PO Box 1450  
 Alexandria, VA 22313-1450

TRANSMITTAL OF TERMINAL DISCLAIMERS

In response to a telephone conversation with Examiner Schechter today, April 11, 2005, whereby certain claims are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over certain claims of U.S. Patent No. 6,473,143, and under the judicially created doctrine of obviousness-type double patenting as being unpatentable over certain claims of U.S. Patent Application Serial Number No. 10/281,569.

Applicants reserve the right to file one or more continuation applications to prosecute claims that would not be rejected under the judicially created doctrine of obviousness-type double patenting without the herewith terminal disclaimers.

Applicant is a Small Entity. The Commissioner is hereby authorized to charge Statutory Disclaimer fee under 37 CFR 1.20(d) for a Small Entity of \$130.00 for two (2) terminal disclaimers to Deposit Account No. 501648.

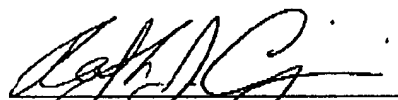
There being no further outstanding issues, applicants respectfully request allowance in the present application.

Respectfully submitted,

Date: April 11, 2005

Customer No. 26665  
 REVEO, INC.  
 3 Westchester Plaza  
 Elmsford, New York 10523  
 Telephone (914) 798-7270  
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By:

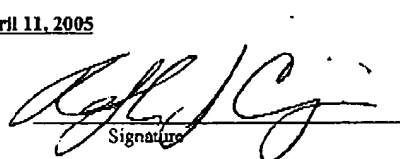
  
 Ralph J. Crispino  
 Registration No. 46,144

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to (703) 872-9306 and (571) 273-2302 on

April 11, 2005

Ralph J. Crispino  
 (Name of Person Transmitting Paper)

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PTO/SB/26 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING  
REJECTION OVER A "PRIOR" PATENT**

Docket Number (Optional)

In re Application of: Li et al.

Application No.: 10/656,827

Filed: September 5, 2003

For: Spectrum-Controllable Reflective Polarizers having Electrically Switchable Modes of Operation

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The owner, Reva, Inc., of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term prior patent No. 6,473,143 as the term of said prior patent is defined in 35 U.S.C. 154 and 173, and as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later:

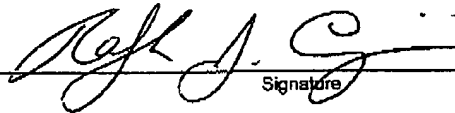
- expires for failure to pay a maintenance fee;
- is held unenforceable;
- is found invalid by a court of competent jurisdiction;
- is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
- has all claims canceled by a reexamination certificate;
- is reissued; or
- is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

1. ☐ For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2. ☒ The undersigned is an attorney or agent of record. Reg. No. 46,144



Signature

April 11, 2005

Date

Ralph J. Crispino  
Typed or printed name

(914) 798-7270  
Telephone Number

- ☒ Terminal disclaimer fee under 37 CFR 1.20(d) included.

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\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).  
Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**TERMINAL DISCLAIMER TO OBIATE A PROVISIONAL DOUBLE PATENTING  
REJECTION OVER A PENDING "REFERENCE" APPLICATION**

Docket Number (Optional)

Reveo-0108USAACN01

In re Application of: Li et al.

Application No.: 10/656,827

Filed: September 5, 2003

For: Spectrum-Controllable Reflective Polarizers having Electrically Switchable Modes of Operation

The owner, Reveo, Inc., of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of any patent granted on pending reference Application Number 10/281,569, filed on October 28, 2002, as such term is defined in 35 U.S.C. 154 and 173, and as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the reference application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

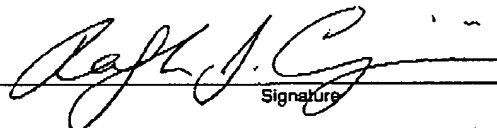
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any patent granted on said reference application, "as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application." In the event that: any such patent: granted on the pending reference application: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.

Check either box 1 or 2 below, if appropriate.

1. ☐ For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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